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H. GARCIA & ASSOCIATES, INC.
International Investigations & Risk
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**International
Investigations &
Risk Mitigation
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SERVICES TO THE CLIENT

Corporate & Litigation Support Investigations

H. Garcia & Associates, Inc. conducts thorough, independent investigations and advises client on how best to proceed after all the evidence has been gathered, analyzed and evaluated. If immediate action is needed, we will work with client and legal counsel to assist in civil or criminal action against the offenders. As part of the engagement, we will also recommend measures that can reduce the risk of such activity occurring again in the future. We provide the following services:

- Civil and criminal fraud investigations
- Corporate embezzlements & misappropriations of corporate assets
- Asset searches for corporations and individuals
- Corporate Brand Protection/Anti-counterfeiting investigations
- Anti-money laundering regulated business compliance assessments & investigations
- Physical & technical surveillance
- Covert investigations consultancy

International Intelligence & Investigations

We are a provider of intelligence, investigative & advisory services in Mexico, Spain, Colombia and other South American countries to the multinational corporate client. We are supported by a network of former high level law enforcement associates in these countries. We provide the following services:

- Litigation support & corporate investigations
- Asset & individual searches
- Anti-counterfeiting investigations

Investigative Due Diligence

We will uncover, review and assess public records data that may impact the client's business operations or outcome of litigation. This includes litigation research and corporate due diligence, tailored to meet the client's specific needs.

- **Litigation Research**
 - Tracing assets of all kinds of corporations and individuals for civil and fraud investigations.
 - Prior or pending Federal/State litigation & Bankruptcy Docket Search

- Research damages claims

- **Corporate Due Diligence**

- For mergers, joint ventures, acquisitions and alliances
- Comprehensive background reporting on executives and companies.

Risk Mitigation Consulting

We are a provider of risk mitigation services for multinational corporations. We will provide you with options for improvement that will quantify and justify the costs of the security program for your company. We use risk-based software that saves time of doing the assessment manually, and allows your company to benchmark compliance from year to year, and provides an audit document for importers requesting C-TPAT certification for fast track inspection process at the U.S. border.

We provide the following services:

- **Security Strategy** – Will evaluate the Company's security objectives.
- **Vulnerability Assessment** – We will highlight areas of concern prioritized by the criticality of the asset & risk level.
- **Threat Assessment** – We will identify and evaluate threats impacting the company financially.
- **C-TPAT Risk Assessment** – Will assess baseline requirements with U.S Customs C-TPAT criteria requirements for importers and provide client with a compliance profile & necessary steps to improve security in the supply chain.
- **Risk Analysis** – We will use risk management software to conduct risk-based assessments & quantify the estimated annual loss expectancy by Asset & Threat category.
- **Gap Analysis** - We will compare "current state security" vs. "recommended security" for each vulnerability area, to illustrate compliance and non-compliance.
- **Recommendations for Security Improvement** – We will provide you with

options for improvement consistent with the criticality of the asset and risk level.

- **Return on Investment** – We will justify security upgrades investment, by illustrating savings in insurance costs & loss reductions.

HARRY GARCIA President

Mr. Garcia is a Certified Fraud Examiner, Certified Protection Professional, Investigative and Security consultant who served over 26 years of distinguished service with the Internal Revenue Service as al Revenue Agent and with the Federal Bureau of Investigation as a Special Agent Accountant and Manager. He held a senior position with Ernst & Young LLP, as an Associate Director of the Office of Firm Security and in February 2005 founded H.Garcia & Associates, Inc.

During his IRS & FBI career, he investigated embezzlements & misappropriations of assets; public corruption & bribery; anti-money laundering related to financial crimes, corruption and drugs; audited and analyzed financial reporting and dissected cash flow for fraud and money laundering; traced & recovered illegally obtained assets; implemented investigative approaches to organized crime, and provided expert testimony. He held diplomatic positions with the FBI in Bogota, Colombia and Mexico City, where he provided anti-money laundering & anti-corruption training to investigators and prosecutors. He also held supervisory positions with the FBI. Mr. Garcia received numerous commendations from the director & other agencies, as well the Law Enforcement Officer of the Year Award in 1987 for his exceptional work in complex fraud & money laundering investigations.

While at Ernst & Young LLP he developed firm-wide guidance for building lease agreement physical security requirements. As a consultant, for corporate & attorney clients, he has provided evidentiary support for litigation, analyzed financial reporting documents, consulted on a brand protection matter & risk mitigation for top fortune 500 companies.

Professional Affiliations

- Association of Certified Fraud Examiners
- American Society for Industrial Security (ASIS) International
- Society of former agents of the FBI